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# Best Practices in Stakeholder Engagement: New York

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## 5 Factors:

1. Set clear objectives and process parameters
2. Establish a clear regulatory relationship
3. Enlist a knowledgeable and objective facilitator
4. Establish an effective organizational structure
5. Assemble a diverse and representative group of stakeholders

# 5 Factors for Successful Stakeholder Engagement

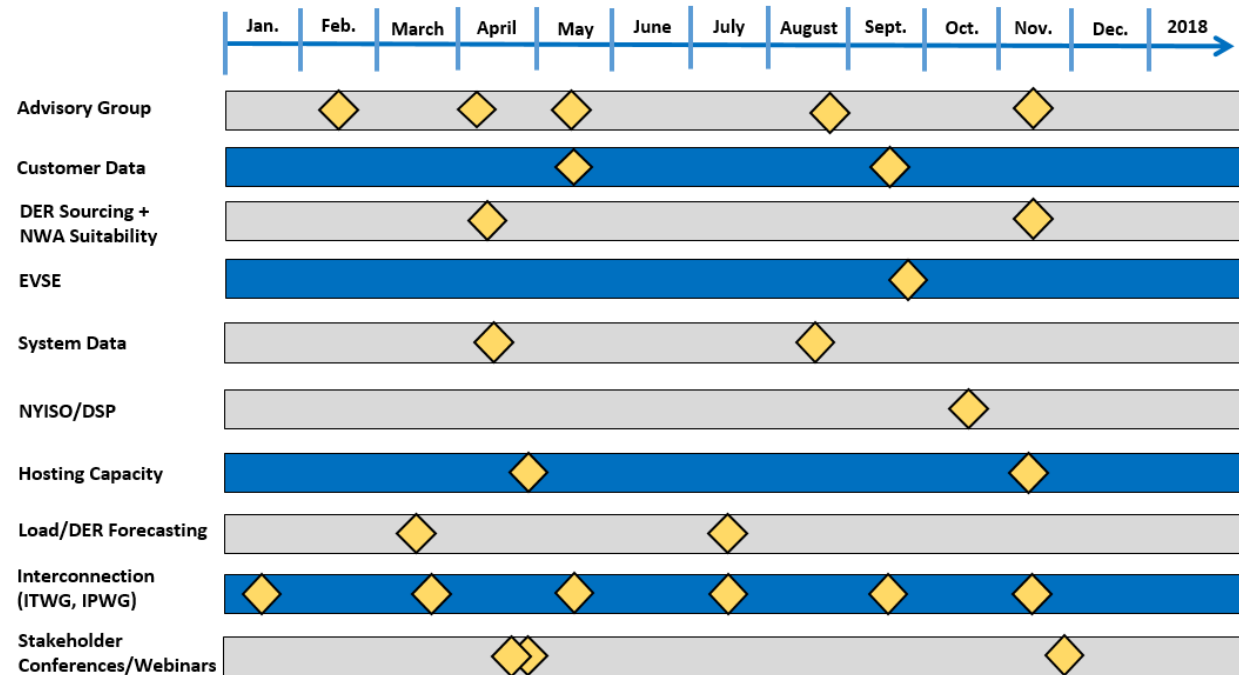
<b>Objectives</b> Set clear objectives and process parameters	<b>Regulatory Relationship</b> Establish a clear regulatory relationship	<b>Facilitator</b> Enlist a knowledgeable and objective facilitator	<b>Organization</b> Establish an effective organizational structure	<b>Stakeholders</b> Assemble a diverse and representative group of stakeholders
<ul style="list-style-type: none"> <li>▪ Clear desired outcomes</li> </ul>	<ul style="list-style-type: none"> <li>▪ Ordered and overseen by the NY Public Service Commission</li> </ul>	<ul style="list-style-type: none"> <li>▪ Utility-led with a third party facilitator</li> </ul>	<ul style="list-style-type: none"> <li>▪ Advisory Group</li> <li>▪ Engagement Groups</li> <li>▪ Stakeholder conferences</li> </ul>	<ul style="list-style-type: none"> <li>▪ Over 300 organizations and individuals on the REV proceeding service list</li> </ul>

## Joint Utilities of New York - Stakeholder Engagement Process



# JOINT UTILITIES OF NEW YORK

## 2017 Supplemental Distributed System Implementation Plan Proposed Stakeholder Engagement



Source: Adapted from the Joint Utilities Supplemental Distributed Implementation Plan, November 2016

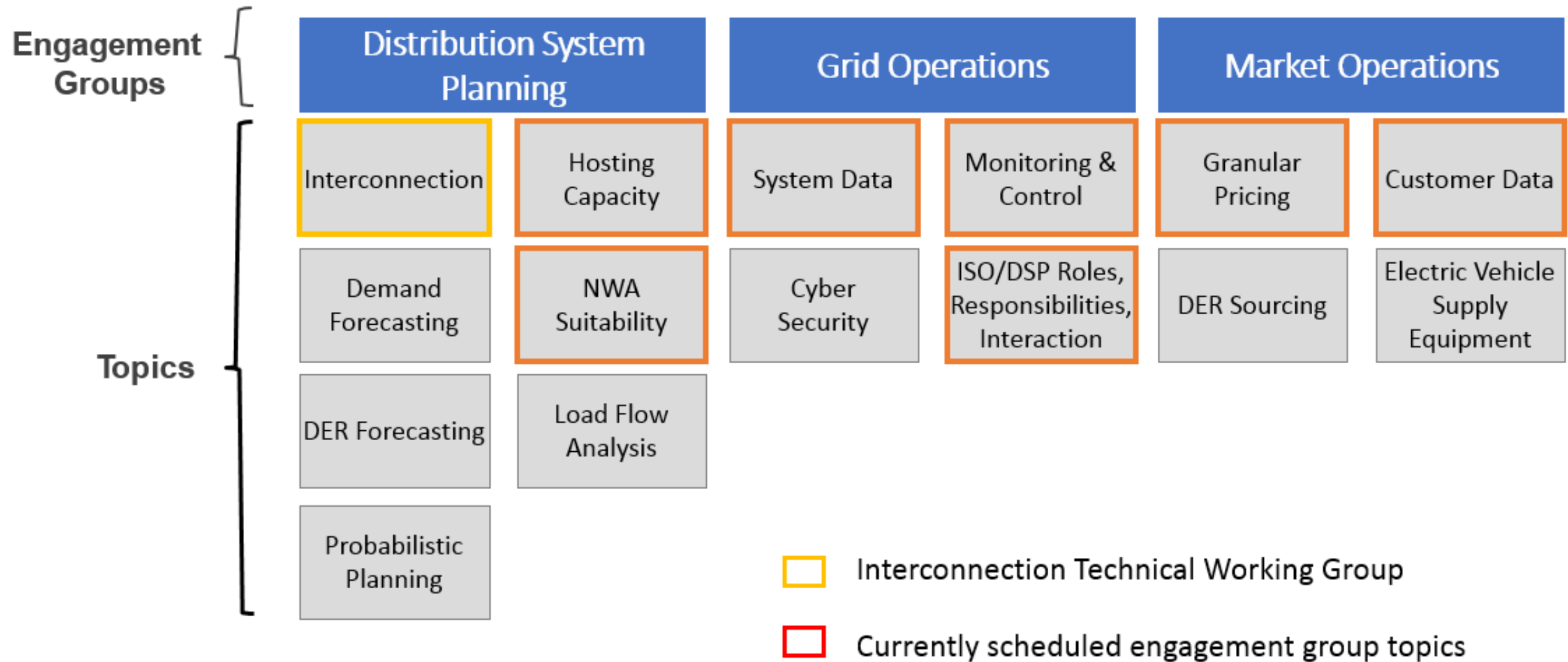
## Establish an effective organizational structure

- Advisory Group: 15-20 representative stakeholders provides guidance, set agenda for the effort
- Engagement Groups for technical deep-dive discussions open to all via virtual and in-person participation
- Stakeholder conferences for a broader audience, higher level discussions

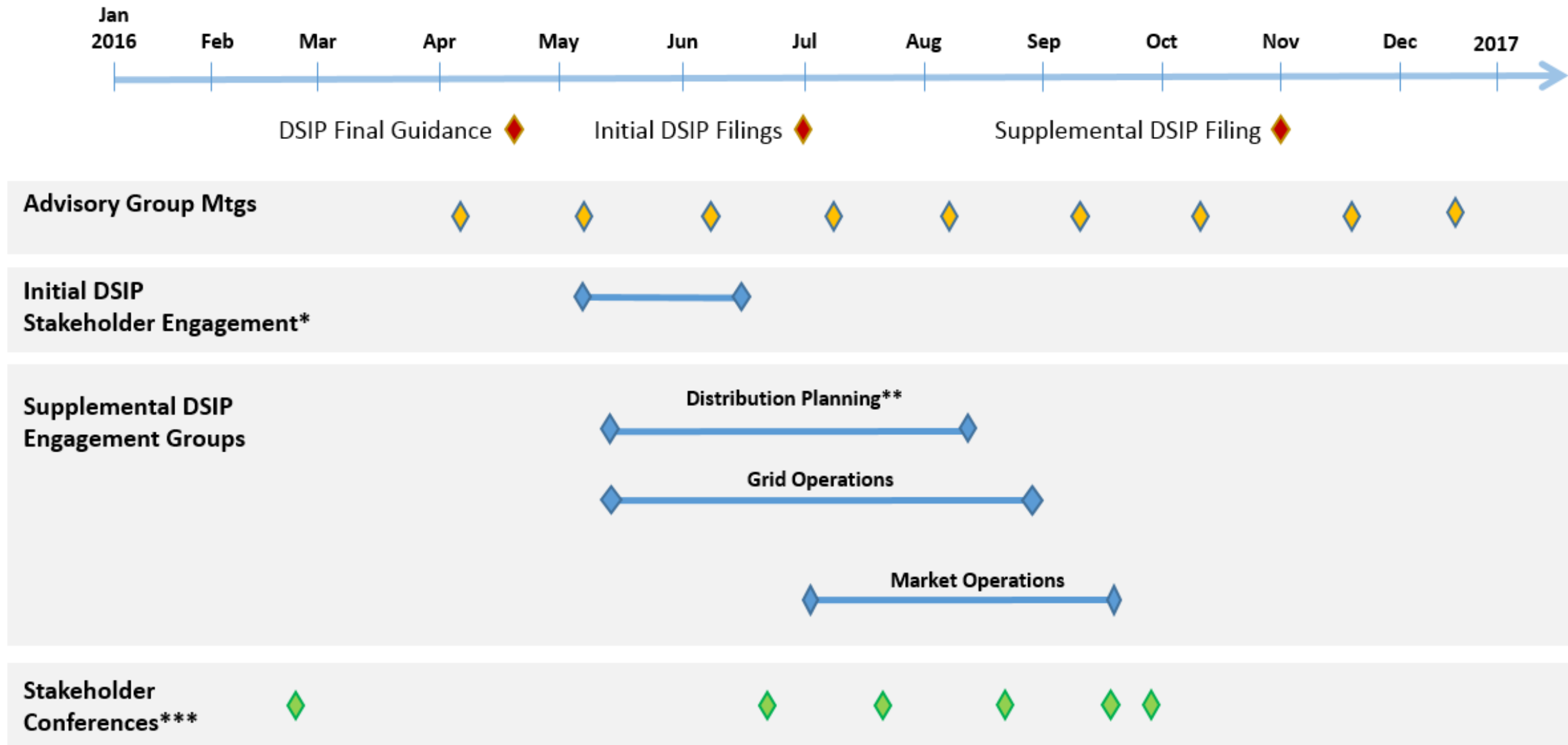
## Assemble a diverse and representative group of stakeholders

- Transparent and multi-tier approach
- Convened a broad set of stakeholders across multiple sectors: regulatory staff, consumer advocates, DER developers, environmental advocates, solution developers, research organizations, ISO/RTO, retail suppliers, trade groups, etc.

# Organizational Structure for Stakeholder Engagement



# Stakeholder Engagement Schedule



# Benefits of an Effective Stakeholder Engagement Process

- Constructive and long-term working relationships
- Stronger communication between parties, regulators and the utility
- Build common ground on key issues and common vocabulary
- More efficient regulatory proceedings
- Transparency, predictability, and buy-in from different interested parties





# The Rising Value of Stakeholder Engagement in Today's High-Stakes Power Landscape

- Rapid advancements in technology and economics and expanding market participants create higher stakes regulatory decisions—more on the line and greater potential for unintended consequences
- Robust stakeholder engagement processes augment traditional adjudicated regulation: Done right, they decrease risk and deliver better value
- Five key success factors differentiate effective stakeholder engagements



# Thank you!

